

# NOMINATION FORM

Annexure A to SEBI circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/23 dated February 24, 2022 on Nomination for Eligible Trading and Demat Accounts – Extension of timelines and relaxations for existing account holders] (To be filled in by individual applying singly or jointly)

TM/DP Name & Address		<b>DALAL &amp; BROACHA STOCK BROKING PVT. LTD.</b> 506, Maker Chamber V, 221, Nariman Point, Mumbai - 400 021.										Nomination Registration No.				Dated															
Date	D	D	M	M	Y	Y	Y	Y	Y	Y	DP ID	1	2	0	1	1	7	0	0	Client ID	0	0									
I/We wish to make a nomination. [As per details given below]																				UCC											
<b>Nomination Details</b>																															
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my/our account in the event of my/our death.																															
Nomination can be made upto three nominees in the account.								Details of 1st Nominee								Details of 2nd Nominee								Details of 3rd Nominee							
1. First Name																															
Middle Name																															
Last Name																															
2. Share of each Nominee Equally (If not equally, please specify percentage)								%								%								%							
Any odd lot after division shall be transferred to the first nominee mentioned in the form.																															
3. Relationship With the Applicant (If Any)																															
4. Address of Nominee(s)																															
City																															
State																															
Pin																															
Country																															
5. Mobile No./Tele. No. of nominee(s)#																															
6. E-mail ID of nominee(s)#																															
7. Nominee Identification details# [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID																															
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:																															
8. Date of Birth (in case of minor nominee(s))								D	D	M	M	Y	Y	Y	Y	D	D	M	M	Y	Y	Y	Y	D	D	M	M	Y	Y	Y	Y
9. Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}																															




===== ( Please Tear here ) =====

Received nomination from: **ACKNOWLEDGEMENT RECEIPT**

DP ID	1	2	0	1	1	7	0	0	Client ID	0	0								UCC	
Name																				
Address																				
Nomination in favour of																				
First Nominee																				
Second Nominee																				
Third Nominee																				
No Nomination	<input type="checkbox"/> Does not wish to nominate																			
Registration No.											Registered on	D	D	M	M	Y	Y	Y	Y	

For DALAL & BROACHA STOCK BROKING PVT. LTD.

(Authorised Signatory)

10. Address of the Guardian(s)			
City			
State & Country			
Pin			
11. Mobile/Tele. no. of Guardian#			
12. E-mail ID of the Guardian#			
13. Relationship of the Guardian with the Nominee			
14. Guardian Identification details# [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID			
	First/Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signature*			

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

# Optional Fields (Information required at Serial nos. 5, 6, 7, 11, 12 & 14 is not mandatory)

Note: This nomination shall supersede any prior nomination made by the account holder(s), if any.




The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s).

### Declaration Form for opting out of nomination

[Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

To, TM/DP Name & Address	<b>DALAL &amp; BROACHA STOCK BROKING PVT. LTD.</b> 506, Maker Chamber V, 221, Nariman Point, Mumbai - 400 021.								
Date	<table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		
DP ID	1 2 0 1 1 7 0 0								
Client ID									
UCC									

I/We hereby confirm that I/We do not wish to appoint any nominee(s) in my/our trading/demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/our legal heirs would need to submit all the requisite documents/information for claiming of assets held in my/our trading/demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading/demat account.

	First/Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signature			

Details of the Witness applicable for both Annexure A & B (Required only if the account holder affixes thumb impression, instead of signature)

Names of Witness	
Address of Witness	
Signature of Witness	